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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Beverly W. Burbaum et al. Art Unit : Unknown
Serial No. : 10/560,953 ✓ Examiner : Unknown
Filed : December 15, 2005
Title : PROCESSES FOR PREPARING 3-BENZAZEPINES

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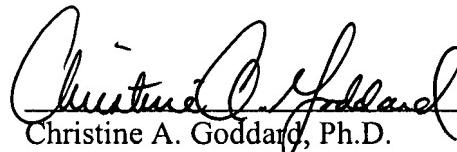
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Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

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Respectfully submitted,


Christine A. Goddard, Ph.D.
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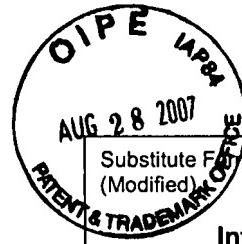
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(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
20750-042US1Application No.
10/560,953
**Information Disclosure Statement
by Applicant**
 (Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Beverly W. Burbbaum et al.Filing Date
December 15, 2005

Group Art Unit

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US 3652543	03/28/72	Hoegerle et al.			
	AB	US 3716639	02/13/73	Hoegerle et al.			
	AC	US 4108989	08/22/78	Holden			
	AD	US 4111957	09/05/78	Holden et al.			
	AE	US 4233217	11/11/80	Shetty			
	AF	US 4584293	04/22/86	Reiffen et al.			
	AG	US 4737495	04/12/88	Bomhard et al.			
	AH	US 4957914	09/18/90	Clark et al.			
	AI	US 4988690	01/29/91	Effland et al.			
	AJ	US 5015639	05/14/91	Berger et al.			
	AK	US 5750520	05/12/98	Danilewicz et al.			
	AL	US 5861393	01/19/99	Danilewicz et al.			
	AM	US 6953787 B2	10/11/05	Smith et al.			
	AN	60/479,280	Non- Applicable	Smith et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AO	DE 1944121	03/19/70	Germany				
	AP	GB 1196229	06/24/70	Great Britain				
	AQ	CH 500194	01/29/71	Switzerland				
	AR	GB 1221324	02/03/71	Great Britain				
	AS	GB 1225053	03/17/71	Great Britain				
	AT	DE 1914456	06/16/71	Germany				
	AU	GB 1247306	09/22/71	Great Britain				
	AV	GB 1268243	03/22/72	Great Britain				
	AW	NL 7807819	01/23/80	Netherlands				
	AX	EP 0007070 A1	01/23/80	Europe				

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 20750-042US1	Application No. 10/560,953	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Beverly W. Burbaum et al.				
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	AY	CA 1090797	12/02/80	Canada			
	AZ	AU 515236B (B2)	03/26/81	Australia			
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	BQ	WO 9513274	05/18/95	WIPO			
	BR	WO 9604271	02/15/96	WIPO			
	BS	WO 9605194	02/22/96	WIPO			
	BT	WO 9633993	10/31/96	WIPO			
	BU	WO 9806701	02/19/98	WIPO			
	BV	WO 9840385	09/17/98	WIPO			
	BW	EP 0987235 A1	03/22/00	Europe			
	BX	EP 1074549 A2	02/07/01	Europe			

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							Yes No
	BY	EP 1074549 B1	02/07/01	Europe			
	BZ	WO 0240471	05/23/02	WIPO			
	CA	WO 02074746	09/26/02	WIPO			
	CB	JP 076413	12/03/02	Japan			
	CC	WO 03086306 A2	10/23/03	WIPO			
	CD	EP 1074549 B1	11/19/03	Europe			

Other Documents (include Author, Title, Date, and Place of Publication)

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	CF	Ben Hassine-Coniac, et al., “Préparation et propriétés d'aldéhydes dans la série de la benzazépine-3”, Bulletin de La Société Chimique de France, 11:3985-92 (1971) FRENCH LANG ONLY
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	CL	Deady, et al., “Synthesis of Some Tetrahydro-2- and 3-benzazepines, and of Hexahydro-3-benzazocine”, JCS Perkin I, 782-3 (1973)
	CM	DeMarinis et al., “Development of an Affinity Ligand for Purification of α_2 -Adrenoceptors from Human Platelet Membranes”, J. Med. Chem., 27, 918-921 (1984)
	CN	Dixit et al., “Agents Acting on Central Nervous System: Part XXIII-2-Substituted 1, 2, 3, 4, 6, 7, 12, 12a-Octahydropyrazino[2,1- <i>b</i>][3] benzazepines & 3-Substituted 1, 2, 3, 4, 4a, 5, 6, 11-Octahydropyrazin[1,2- <i>b</i>][2] benzazepines”, CDRI Communication No. 1969, 893-97 (1974)

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	CO	Draper, et al., "Novel Stereoselective Syntheses of the Fused Benzazepine Dopamine D ₁ Antagonist (6aS, 13bR)-11-chloro-6, 6a,7,8,9, 13b-hexahydro-7-methyl-5H-benzo[d]naphth[2, 1-b]azepin-12-ol (Sch 39166): 1. Aziridinium Salt Based Syntheses", Organic Process Research & Development, 2(3):175-85 (1998)
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	CS	Gerritz, et al., "Two General Routes to 1,4-disubstituted-2,3,4,5-tetrahydro-1H-3-benzazepines", Organic Letters, 2(25):4099-102 (2000)
	CT	Gombar, et al., "Pharmacokinetics of a Series of 6-chloro-2,3,4,5-tetrahydro-3-substituted-1H-3-benzazepines in Rats", Drug Metabolism and Disposition, 16(3):367-72 (1988)
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	CV	Halford, et al., " α -phenylenediacetimide and Other Compounds Related to 3,1H-benzazepine", J. Org. Chem. 17:1646-52 (1952)
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	CY	Heys, et al., "A New Entry into C7-Oxygenated Tetrahydro-1H-3-benzazepines: Efficient Labeling with Carbon-14 in the Benzo Ring", J. Org. Chem., 54(19):4702-6 (1989)
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	DA	Klohr, et al., "An Intramolecular Photocyclization to Form the Azepino[3,4,5-cd]Indole System", Synthetic Communications 18(7):671-4 (1988)
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	DF	Macdonald, et al., "Design and Synthesis of <i>trans</i> -3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1H-3-benzazepine (SB-414796): A Potent and Selective Dopamine D ₃ Receptor Antagonist", <i>J. Med. Chem.</i> , 46(23):4952-64 (2003)
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	DJ	Okuno, et al., "Photocyclization of N-chloroacetyl-2,5-dimethoxyphenethylamine Synthesis of Pyrroloindoles", <i>Chem. Pharm. Bull.</i> , 23(11):2584-90 (1975)
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	DL	Orito, et al., "Total Synthesis of Pseudo Type of Protopine Alkaloids", <i>Heterocycles</i> , 14(1):11-4 (1980)
	DM	Orito, et al., "Benzolactams-I: Alkylation of 1,2,4,5-Tetrahydro-3-methyl-3H-3-benzepin-2-one with Sodium Hydride and Alkyl Halide", <i>Tetrahedron</i> 36(8):1017-21 (1980)
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	DO	Pecherer, et al., "The Synthesis of Some 7- and 7,8-substituted 2,3,4,5-tetrahydro-1H-3-benzazepines", <i>J. Het. Chem.</i> , 8:779-83 (1971)
	DP	Pecherer, et al., "A Novel Synthesis of Aromatic Methoxy and Methyleneedioxy Substituted 2,3,4,5-tetrahydro-1H-3-benzazepines", <i>J. Het. Chem.</i> , 9:609-16 (1972)
	DQ	Perry, et al., "Prospective Study of Risk Factors for Development of Non-Insulin Dependent Diabetes in Middle Aged British Men", <i>BMJ</i> , 310:560-4 (1995)
	DR	Pfeiffer, et al., "Dopaminergic Activity of Substituted 6-chloro-1-phenyl-2,3,4,5-tetrahydro-1H-3-benzazepines", <i>J. Med. Chem.</i> , 25(4):352-8 (1982)
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	DT	Schlademan, et al., "Synthesis of 1-oxo- and 1-hydroxy-azabenzocycloalkanes", <i>J.C.S. Perkin I</i> , 2:213-5 (1972)
	DU	Tietze, et al., "Efficient Synthesis of 2,3,4,5-tetrahydro-1H-3-benzazepines by Intramolecular Heck Reaction", <i>Synthesis</i> , 9:876-80 (1993)
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	DY	Yasuda, et al., "A Novel and Stereoselective Synthesis of (\pm)-cephalotaxine and its Analogue", Tetrahedron Letters, 27(18):2023-6 (1986)
	DZ	Yonemitsu, et al., "Photocyclization of Pharmacodynamic Amines. IV. Novel Heterocycles from N-chloroacetyl-3,4-dimethoxyphenethylamine", Journal of the American Chemical Society, 92(19):5686-90 (1970)
	EA	Yonemitsu, et al., "Photolysis of N-chloroacetyl-O-methyl-L-tyrosine to an Azaazulene", Journal of the American Chemical Society, 89(4):1039-40 (1967)
	EB	Yonemitsu, et al., "Photocyclizations of Tyrosines, Tyramines, Catecholamines, and Normescalin", Journal of the American Chemical Society, 90(3):776-84 (1968)
	EC	Yonemitsu, et al., "Photocyclization of Pharmacodynamic Amines. II. X-Ray Analysis of a Noncentrosymmetric Tetracyclic Indole", Journal of the American Chemical Society, 90(23):6522-3 (1968)

*Cited Reference is excessively voluminous; kindly request delivery if desired.

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